

Department of Grant Compliance and Administration

1.

Presentation Acronyms

FCO: Federal Compliance Officer

FFCR: Federal Fiscal Compliance and Reporting

FFM: Federal Fiscal Monitoring

2024–2025 LEA Risk Assessment Indicators

2 CFR§ 200.332 Requirements for Pass-Through Entities

TEA as a pass-through entity is required under Title 2 of the Code of Federal Regulations (CFR), _____

Department of Grant Compliance and Administration Annual Risk Assessment



2024-2025 Risk Assessment Indicators, Data, and Points

2024-2025 Indicators	Data Period	Data Source	Max Points
I-1: Single-Audit Management Decisions Letters	FY20–FY24	GCA Reports	10 Points
I-2: IDEA-B LEA MOE Non-Compliance Referrals	FY22–FY24	FFCR Reports	9 Points
I-3: Monitored by FFM with Final Report Findings	FY19–FY23	FFM Monitoring Reports	10 Points
I-4: Monitored by SMD with Final Report Findings	FY21–FY23	SMD Monitoring Reports	9 points
I-5: An LEA had grant management compliances concerns	FY20–FY24	GA Reports	10 Points
I-6: An LEA had post award significant issues with their program applications	FY23–FY24	PAC Report	4 Points
I-7: Other TEA Division Risk Assessment Referrals	FY20–FY24	GCA Referral Reports	10 Points
I-8: Superintendent Turnover	FY22–FY24	GCA AskTED Reports	10 Points
I-9: CFO/Business Manager Turnover	FY22–FY24	GCA AskTED Reports	10 Points




2024-2025 Risk Assessment Indicators, Data, and Points

Fmm1 (q)-0
F1.967 (2)-0.7 (3)TJEMC /P A

2023-2024 Indicators	Data Period	Data Source	Max Points
I-10: An LEA is not required to conduct a single audit in FY23 as required per, 2 CFR§ 200.501	FY23	GCA Reports	5 Points
I-11: LEA percentage of disallowed costs in final monitoring report	FFM: FY19–FY23 SMD: FY21–FY23	Fmp (r)16 (s)12 ta3 (r)0.6 (d)-0.6 (-1 (q)- C973 c Td1P A(1)TJD Tc 0 Tw 4.dF22d coiRB2.6	



2024-2025 Risk Assessment Indicators, Data, and Points

2023-2024 Indicators	Data Period	Data Source	Max Points
I-17: An LEA is currently served by a Fiscal Conservator, appointed by the Commissioner of Education under TEC§ 39A.002	Current Status	Sanctions and Monitoring Reports	6 points
I-18: Ad Hoc Referrals to the Federal Compliance Officer	FY23–FY24	GCA Data	10 Points
I-19: Number of 			



IND #	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-7	<p>An LEA was referred for Risk Assessment by other TEA divisions for the following years:</p> <ul style="list-style-type: none"> ▪ FY24 ▪ FY23 ▪ FY22 ▪ FY21 ▪ FY20 	<p>0 Points: 0 Referrals 2 Points: 1 Referral 4 Points: 2 Referrals 6 Points: 3 Referrals 8 Points: 4 Referrals 10 Points: 5 Referrals</p>
I-8	<p>An LEA has experienced Superintendent turnover over for the following years.</p> <ul style="list-style-type: none"> ▪ FY24 ▪ FY23 ▪ FY22 <p>*FY21 Baseline data</p>	<p>0 Points: No Changes in Superintendent 2 Points: 1 Change in Superintendent 4 Points: 2 Changes in Superintendent 6 Points: 3 Changes in Superintendent 8 Points: 4 Changes in Superintendent 10 Points: 5 Changes in Superintendent</p>



IND #	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-9		

IND #	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-11	An LEA was monitored by FFM or SMD and had disallowed costs in the final report for the following years:	

	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-13	<p>During FY22 or FY23 an LEA had Federal Program non-compliance issues for the following:</p> <ul style="list-style-type: none"> ▪ Late or no submission of their ESSA Consolidated Compliance Report ▪ Not in Compliance on their ESSA Consolidated Compliance Report Program Compliance Self-Check Requirements <p><u>Programs included in this indicator are:</u></p> <p>PR1000 – Title I, Part A PR1200 – Title I, Part C PR2000 – Title I, Part D PR3000 – Title II, Part A PR3002 – Title III, Part A PR3114 – Title III, Part A PR3107 – Title IV, Part A PR3099 – Private Nonprofit School Equitable Services PR6200 – Unsafe School Choice Option</p>	<p>0 Points: No Referrals 2 Points: 1 Referral 4 Points: 2 Referrals 6 Points: 3 Referrals 8 Points: 4 Referrals 10 Points: 5 or more Referrals</p>

	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-17	An LEA is currently being served by a Fiscal Conservator, appointed by the Commissioner of Education under TEC§ 39A.002 .	6 Points
I-18	<p>Ad Hoc Referrals to the Federal Compliance Officer for the following projects:</p> <ul style="list-style-type: none"> ▪ Year Four USDE ESSER Delinquent Reporting ▪ Programmatic non-compliance ▪ Open Investigations for misuse of federal funds ▪ Other referrals 	<p>0 Points: No Referrals 2 Points: 1 Referral 4 Points: 2 Referrals 6 Points: 3 Referrals 8 Points: 4 Referrals 10 Points: 5 Referrals</p>



	2024-2025 TEA Risk Indicators	2024-2025 Point System
I-19	Number of <u>overdue refunds or non-compliance documents</u> from required non-compliance resolution actions past the requested deadline for the following years: <ul style="list-style-type: none"> ▪ FY23 ▪ FY24 	0 Points: On-time refunds and documents 1 Points: 1 Overdue refund or documents 2 Points: 2 Overdue refunds or documents 3 Points: 3 Overdue refunds or documents 4 Points: 4 Overdue refunds or documents 5 Points: 5 Overdue refunds or documents 6 Points: 6 Overdue refunds or documents 7 Points: 7 Overdue refunds or documents 8 Points: 8 Overdue refunds or documents 9 Points: 9 Overdue refunds or documents 10 Points: 10 Overdue refunds or documents
I-20	Automatic TEA High-Risk Status Designation	Automatic TEA High-Risk Status Designation



2025-2026 Reintroduction of Previous Risk Indicators

Reintroduction of Previous Risk Indicators

An LEA has carried over excessive amounts of funds for grants awarded in the following applications:

- IDEA-B – Over 50% Carryover
- Carl D. Perkins – Over 25% Carryover
- Every Student Succeeds Act (ESSA) Consolidated Grant includes:
 - Title I, Part A – Over 15% Carryover
 - Title I, Part C – Over 25% Carryover
 - Title I, Part D – Over 25% Carryover
 - Title II, Part A – Over 25% Carryover
 - Title III, Part A – Over 25% Carryover
 - Title IV, Part A – Over 25% Carryover

Reintroduction of Previous Risk Indicators Cont.

An LEA has lapsed funds (TBD) for grants awarded in the following applications:

- IDEA-B
- Carl D. Perkins
- Every Student Succeeds Act (ESSA) Consolidated Grant includes:
 - Title I, Part A
 - Title I, Part C
 - Title I, Part D
 - Title II, Part A
 - Title III, Part A
 - Title IV, Part A



Reintroduction of Previous Risk Indicators Cont.

An LEA has not drawn down federal grant funds by January 31, 2025, for the following grants:

- IDEA-B
- Carl D. Perkins
- Title I, Part A

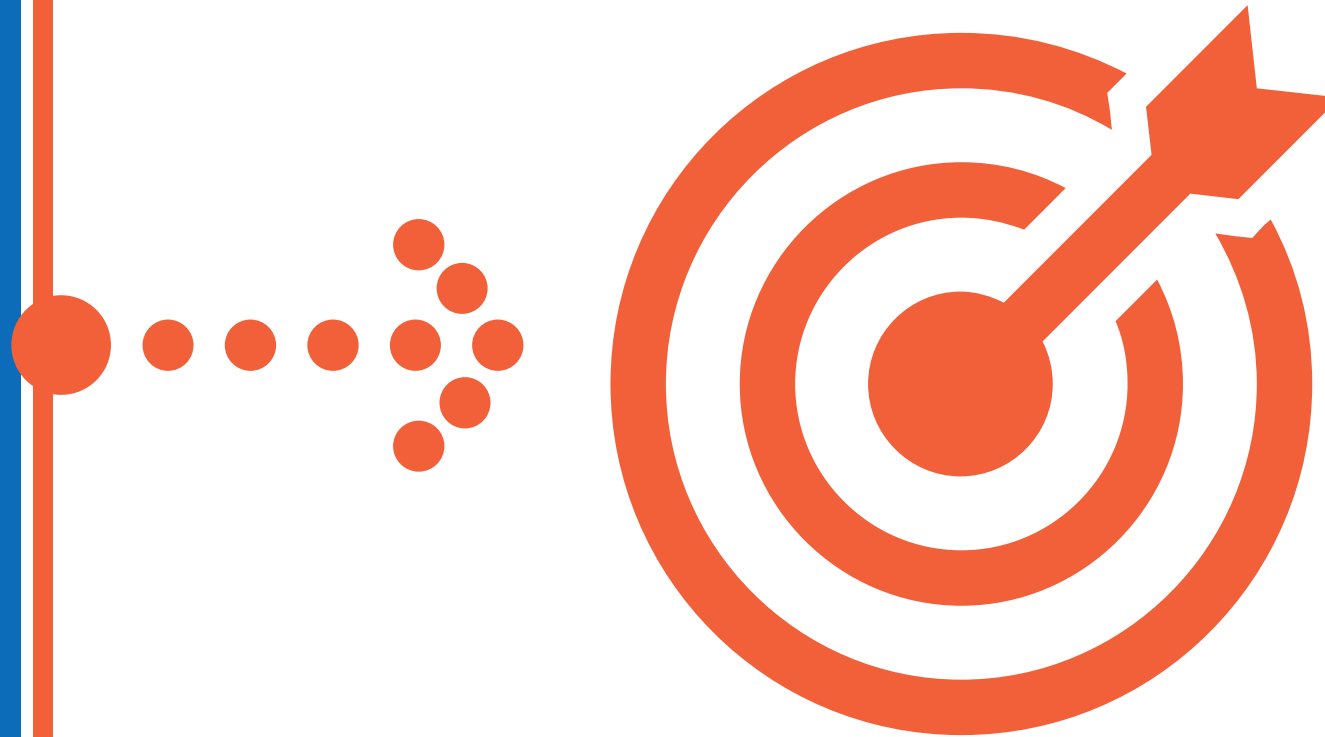




គណៈកម្មាធិការ
ស្រាវជ្រាវគណនេយ្យស្រុក

2024-2025 LEA Risk Assessment Target Release Date

June
2024



Cal Lopez

Federal Compliance Officer

Department of Grant Compliance and
Administration

Phone: 512-463-9017

Email: Cal.Lopez@tea.texas.gov

Email: NonCompResolution@tea.texas.gov